

CADTH Horizon Scan

The Portable Neuromodulation Stimulator: Targeting Neuroplasticity for Balance or Gait Deficit

Authors: Sara D. Khangura

ISSN: 2563-6596

Disclaimer: The information in this document is intended to help Canadian health care decision-makers, health care professionals, health systems leaders, and policy-makers make well-informed decisions and thereby improve the quality of health care services. While patients and others may access this document, the document is made available for informational purposes only and no representations or warranties are made with respect to its fitness for any particular purpose. The information in this document should not be used as a substitute for professional medical advice or as a substitute for the application of clinical judgment in respect of the care of a particular patient or other professional judgment in any decision-making process. The Canadian Agency for Drugs and Technologies in Health (CADTH) does not endorse any information, drugs, therapies, treatments, products, processes, or services.

While care has been taken to ensure that the information prepared by CADTH in this document is accurate, complete, and up to date as at the applicable date the material was first published by CADTH, CADTH does not make any guarantees to that effect. CADTH does not guarantee and is not responsible for the quality, currency, propriety, accuracy, or reasonableness of any statements, information, or conclusions contained in any third-party materials used in preparing this document. The views and opinions of third parties published in this document do not necessarily state or reflect those of CADTH.

CADTH is not responsible for any errors, omissions, injury, loss, or damage arising from or relating to the use (or misuse) of any information, statements, or conclusions contained in or implied by the contents of this document or any of the source materials.

This document may contain links to third-party websites. CADTH does not have control over the content of such sites. Use of third-party sites is governed by the third-party website owners' own terms and conditions set out for such sites. CADTH does not make any guarantee with respect to any information contained on such third-party sites and CADTH is not responsible for any injury, loss, or damage suffered as a result of using such third-party sites. CADTH has no responsibility for the collection, use, and disclosure of personal information by third-party sites.

Subject to the aforementioned limitations, the views expressed herein are those of CADTH and do not necessarily represent the views of Canada's federal, provincial, or territorial governments or any third-party supplier of information.

This document is prepared and intended for use in the context of the Canadian health care system. The use of this document outside of Canada is done so at the user's own risk.

This disclaimer and any questions or matters of any nature arising from or relating to the content or use (or misuse) of this document will be governed by and interpreted in accordance with the laws of the Province of Ontario and the laws of Canada applicable therein, and all proceedings shall be subject to the exclusive jurisdiction of the courts of the Province of Ontario, Canada.

The copyright and other intellectual property rights in this document are owned by CADTH and its licensors. These rights are protected by the Canadian *Copyright Act* and other national and international laws and agreements. Users are permitted to make copies of this document for non-commercial purposes only, provided it is not modified when reproduced and appropriate credit is given to CADTH and its licensors.

About CADTH: CADTH is an independent, not-for-profit organization responsible for providing Canada's health care decision-makers with objective evidence to help make informed decisions about the optimal use of drugs, medical devices, diagnostics, and procedures in our health care system.

Funding: CADTH receives funding from Canada's federal, provincial, and territorial governments, with the exception of Quebec.

Questions or requests for information about this report can be directed to Requests@CADTH.ca

Table of Contents

List of Figures.....	4
Key Messages	5
The Portable Neuromodulation Stimulator.....	5
How It Works	5
Who Might Benefit?	5
Availability in Canada	6
What Does It Cost?	7
Current Practice.....	7
What Is the Evidence?	7
Safety	8
Related Developments.....	8
Looking Ahead.....	8
References	10

List of Figures

Figure 1: Neurostimulation Device Ready to Be Used 6

Key Messages

- CADTH's Horizon Scanning Service identifies new and emerging technologies that may be of interest to health care decision-makers in Canada
- *Health Technology Update* articles generally focus on a single technology or intervention.
- This Horizon Scan presents a brief summary of information relevant to the Portable Neuromodulation Stimulator, designed to be used in conjunction with physical therapy to improve balance or gait impairments associated with mild-to-moderate symptoms of multiple sclerosis or mild-to-moderate traumatic brain injury.

The Portable Neuromodulation Stimulator

Individuals who are living with multiple sclerosis (MS) or have experienced traumatic brain injury (TBI) often have difficulty with walking and balance, causing challenges with mobility and affecting quality of life.^{1,2} Physical therapy and other interventions can help people with these disorders, including a new device, the Portable Neuromodulation Stimulator (PoNS) (Figure 1), designed to supplement physical therapy and improve chronic balance and/or gait impairment for patients with mild-to-moderate symptoms of MS or a mild-to-moderate TBI.^{3,4}

How It Works

The PoNS device uses translingual neurostimulation (TLNS) – a type of cranial nerve, non-invasive neuromodulation (CN-NINM) – to deliver electrical signals that are painlessly transmitted from the tongue through cranial nerves to the brain.³ It is believed that TLNS using the PoNS can support the brain's neuroplastic capacity for restructuring and relearning motor and other skills following trauma or injury.^{5,6} The PoNS uses a mouthpiece connected to a battery-powered controller⁷ and is intended for short-term use during sessions of approximately 20 minutes in length, in conjunction with physical therapy, over a 14-week course of treatment.⁶

Who Might Benefit?

The PoNS is intended for use in patients living with MS or those who have experienced mild-to-moderate TBI and are experiencing difficulty with walking and/or mobility; i.e., gait deficit or chronic balance deficit. Based on data collected in 2015 to 2016, the Public Health Agency of Canada reported that more than 77,000 people in Canada were living with MS⁸ and that annual incident cases per 100,000 are estimated to rise from 4,051 in 2011 to 4,794 by 2031.⁹ And in 2017, it was estimated there were 447 new cases of TBI per 100,000 people in Canada, with an estimated national prevalence of 442,623 cases. Many of these people are affected by chronic balance and/or gait disorders, which can significantly reduce their mobility and have a negative effect on their quality of life.^{1,2,10}

Given the burden of illness in Canada caused by MS, TBI, and other conditions that impair walking, movement, or mobility, as well as the potential importance of neuro-rehabilitation for improving outcomes in affected people,⁶ emerging technologies like the PoNS could benefit thousands of people in Canada if demonstrated to be effective.

Availability in Canada

The PoNS is currently authorized by Health Canada for the short-term use (14 weeks) for treatment of gait deficit in patients living with MS as well as those with chronic balance deficit from mild-to-moderate TBI and is to be used in conjunction with physical therapy (Emma Grenon, Director of Business Development, Heliuss Canada, Vancouver, BC; personal communication, Jul 13, 2021). Physical therapy with the PoNS device is available in more than 30 clinics across the country, including those in British Columbia, Alberta, Ontario,

Figure 1: Neurostimulation Device Ready to Be Used



Source: Reproduced with permission from Heliuss Medical Technologies, Inc.

Quebec, New Brunswick, and Nova Scotia.¹¹ Clinics are selected for their use of the PoNS based on their experience treating patients with neurologic disorders such as MS and TBI (Emma Grenon: personal communication, Jul 13, 2021).

What Does It Cost?

The manufacturer of the PoNS confirms that the cost of the 14-week PoNS treatment program ranges from \$10,000 to 15,000, depending on the particular clinic at which the treatment is provided (Emma Grenon: personal communication, Jul 13, 2021). The cost of therapy using the PoNS is not currently covered by provincial health jurisdictions in Canada, with some people paying out of pocket and others seeking support from other sources.^{12,13} Several patients have achieved full or partial reimbursement via personal health insurance plans (Emma Grenon: personal communication, Jul 13, 2021).

Current Practice

Most patients living with MS develop gait disability over time, which is diagnosed using clinical and patient-reported measures.¹⁴ Current treatment focuses on physical therapy,¹⁵ but other therapies include medication and/or other forms of neuromodulation, including invasive approaches such as deep brain stimulation (DBS)³ and other therapies such as functional electrical stimulation, which provide a limited and non-progressive benefit to patients.¹⁰

For patients who have experienced mild-to-moderate TBI, balance deficit may or may not be present but is also assessed using clinical measures and is often treated using physical therapy.¹⁶ Nonetheless, new technologies — including those using TLNS — are being investigated for their effects on improving outcomes for those affected.^{5,17}

What Is the Evidence?

Multiple studies have been conducted assessing the effectiveness of the PoNS device for gait and/or balance deficit in people with MS or mild-to-moderate TBI, several of which are also highlighted by the manufacturer.¹⁸ Of these, 1 randomized study of 14 participants with MS compared physical therapy with and without the PoNS device, reporting significant improvement in gait among the group receiving physical therapy with the PoNS device.¹⁰ Similarly, another randomized study of 20 MS patients reported a significant improvement in gait among participants receiving physical therapy with the PoNS device, as compared to those receiving physical therapy with a non-functioning PoNS device (to maintain blinding).¹⁹ For people who had experienced mild-to-moderate TBI, another randomized trial reports data on 43 participants who received physical therapy with either high- or low-dose frequency using the PoNS device. Whereas no difference in a measure of postural stability was reported between the 2 groups, improvement following treatment from baseline was reported for both groups.^{5,18}

A 2020 review of available evidence describing TLNS identified, summarized, and assessed the quality of several studies evaluating the clinical effectiveness of interventions using the technology (including the PoNS).³ Authors of the review reported that most of the studies to-date are of variable and/or low quality, making the available evidence less useful for informing decisions about the overall effectiveness of interventions that use TLNS – including the PoNS.³ Nonetheless, the authors did indicate that the feasibility and safety of TLNS using the PoNS and other technologies has been clearly demonstrated by the available evidence and that additional research will be able to more definitively demonstrate the efficacy of this technology.³

Safety

Developers of the PoNS report that no overstimulation or other adverse effects have been observed across multiple studies and case evaluations.⁷ The 2020 review assessing the clinical effectiveness of TLNS (including the PoNS) reported that, while the evidence remains too premature to sufficiently assess efficacy, safety has been demonstrated.³

Related Developments

PoNS is a new and innovative approach to neurorehabilitation and is currently authorized in Canada for use with patients experiencing balance or gait disorder caused by either MS or TBI. However, there may be additional applications for the PoNS that could benefit patients with a variety of neurologic conditions, including those affected by balance and/or gait deficit, such as cerebral palsy and stroke,¹² spinal cord injury,^{20,21} Parkinson disease,²² and acoustic neuroma.²³

Other, broader indications have also been proposed as possible targets for the PoNS technology, including eye movement disorders,²⁴ as well as cognitive function, self-reported bladder function, and tremor.⁴

Looking Ahead

The need for more evidence is an important theme that emerges from the current literature describing the PoNS device⁴ – particularly sufficiently powered, randomized controlled trials that can adequately assess its efficacy (i.e., while feasibility and safety have been demonstrated, the extent to which the PoNS provides a benefit to patients remains uncertain). Notably, developers of the PoNS concede that producing such evidence will be challenging, not least because the development of a suitable placebo to allow for blinded assessment of the technology will be particularly challenging given the features of the intervention.⁴

The cost of the therapy and the current lack of coverage for Canadians also represent important barriers to access for patients who might benefit; nonetheless, these patients have expressed enthusiasm and a desire for access to this emerging technology.^{12,25} Likewise,

developers, clinicians, and others remain optimistic that the innovative, non-invasive neurorehabilitation the PoNS may support is likely to have increased uptake and additional applications in the future.

References

1. Opara JA, Jaracz K, Broła W. Quality of life in multiple sclerosis. *J Med Life*. 2010;3(4):352-358. [PubMed](#)
2. Williams G, Willmott C. Higher levels of mobility are associated with greater societal participation and better quality-of-life. *Brain Inj*. 2012;26(9):1065-1071. [PubMed](#)
3. Diep D, Lam ACL, Ko G. A Review of the Evidence and Current Applications of Portable Translingual Neurostimulation Technology. *Neuromodulation*. 2020;03:03.
4. Kaczmarek KA. The Portable Neuromodulation Stimulator (PoNS) for neurorehabilitation. *Sci Iran*. 2017;24(6):3171-3180.
5. Tyler M, Skinner K, Prabhakaran V, Kaczmarek K, Danilov Y. Translingual Neurostimulation for the Treatment of Chronic Symptoms Due to Mild-to-Moderate Traumatic Brain Injury. *Arch Rehabil Res Clin Transl*. 2019;1(3-4):100026. [PubMed](#)
6. Danilov Y, Kaczmarek K, Skinner K, et al. Cranial Nerve Noninvasive Neuromodulation New Approach to Neurorehabilitation. Chapter 44. In: Kobeissy FH, ed. *Brain Neurotrauma: Molecular, Neuropsychological, and Rehabilitation Aspects* 2015: <https://www.ncbi.nlm.nih.gov/books/NBK299239/>. Accessed 24 June 2021.
7. Danilov Y, Paltin D. Translingual neurostimulation (TLNS): Perspective on a novel approach to neurorehabilitation after brain injury. *NeuroMethods*. 2018;139:307-327.
8. Public Health Agency of Canada. Multiple Sclerosis in Canada. 2018 Mar: <https://www.canada.ca/content/dam/phac-aspc/documents/services/publications/diseases-conditions/multiple-sclerosis-infographic/multiple-sclerosis-infographic.pdf>. Accessed 24 June 2021.
9. Amankwah N, Marrie RA, Bancej C, et al. Multiple sclerosis in Canada 2011 to 2031: results of a microsimulation modelling study of epidemiological and economic impacts. *Health Promot Chronic Dis Prev Can*. 2017;37(2):37-48. [PubMed](#)
10. Leonard G, Lapiere Y, Chen JK, Wardini R, Crane J, Ptito A. Noninvasive tongue stimulation combined with intensive cognitive and physical rehabilitation induces neuroplastic changes in patients with multiple sclerosis: A multimodal neuroimaging study. *Mult Scler J Exp Transl Clin*. 2017;3(1):2055217317690561. [PubMed](#)
11. PoNS. Find a Clinic. 2021; <https://www.ponstreatment.ca/find-a-clinic-en>. Accessed 24 June 2021.
12. Adhopia V. Brain-injury device licensed by Health Canada was rejected by the FDA. *CBC*. 2019. <https://www.cbc.ca/news/health/fda-device-brain-injury-1.5103403>. Accessed 24 June 2021.
13. MS Society of Canada. Portable Neuromodulation Stimulator (PoNS). 2021: <https://mssociety.ca/managing-ms/living-with-ms/ms-care/rehabilitation-in-ms/portable-neuromodulation-stimulator-pons>. Accessed 7 July 2021.
14. Cameron MH, Wagner JM. Gait abnormalities in multiple sclerosis: pathogenesis, evaluation, and advances in treatment. *Curr Neurol Neurosci Rep*. 2011;11(5):507-515. [PubMed](#)
15. Olek M, Narayan, RN et al. Symptom management of multiple sclerosis in adults. Waltham (MA): UpToDate; 2021 Mar 3.
16. Harrell RG, Manetta CJ, Gorgacz MP. Dizziness and Balance Disorders in a Traumatic Brain Injury Population: Current Clinical Approaches. *Current Physical Medicine and Rehabilitation Reports*. 2021;9(2):41-46.
17. Ptito A, Papa L, Gregory K, et al. A Prospective, Multicenter Study to Assess the Safety and Efficacy of Translingual Neurostimulation Plus Physical Therapy for the Treatment of a Chronic Balance Deficit Due to Mild-to-Moderate Traumatic Brain Injury. *Neuromodulation*. 2020;29:29. [PubMed](#)
18. PoNS. Clinical Evidence. 2021; <https://www.ponstreatment.ca/learn/clinical-evidence>. Accessed 6 July 2021.
19. Tyler ME, Kaczmarek KA, Rust KL, Subbotin AM, Skinner KL, Danilov YP. Non-invasive neuromodulation to improve gait in chronic multiple sclerosis: a randomized double blind controlled pilot trial. *J Neuroengineering Rehabil*. 2014;11:79. [PubMed](#)
20. Chisholm A, Malik R, Blouin JS, Borisoff J, Forewell S, Lam T. Potential therapeutic effects of sensory tongue stimulation combined with task-specific therapy in people with spinal cord injury. *Neurorehabilitation and Neural Repair*. 2014;28 (4):NP2-NP3.
21. Chisholm AE, Malik RN, Blouin JS, Borisoff J, Forwell S, Lam T. Feasibility of sensory tongue stimulation combined with task-specific therapy in people with spinal cord injury: a case study. *J Neuroengineering Rehabil*. 2014;11:96. [PubMed](#)
22. Danilov YP, Tyler ME, Kaczmarek KA. Rehabilitation of multiple sclerosis and Parkinson's symptoms using cranial nerve non-invasive neuromodulation, (CN-NINM). *Movement Disorders*. 2011;26:S3-S4.
23. Kondratyeva E, Kondratyev S, Smirnov P, Bugorskiy E, Danilov Y, Ulitin A. Translingual stimulation in patients with ataxia after the resection of large and giant vestibular schwannomas. *European Journal of Neurology*. 2019;26 (Supplement 1):178.
24. Danilov Y, Verbny Y, Kaczmarek K, Skinner K, Tyler M. Eye movement rehabilitation by CN-ninm intervention: A set of case studies. *Journal of Head Trauma Rehabilitation*. 2015;30 (3):E77.
25. Shephard T. 'It's helped me', says Etobicoke man with MS about device that stimulates the tongue. *Etobicoke Guardian*. 2021. <https://www.toronto.com/news-story/10371476-it-s-helped-me-says-etobicoke-man-with-ms-about-device-that-stimulates-the-tongue/>. Accessed 23 June 2021.